**Form No. MGT-5**

**Declaration by the beneficial owner who holds or acquires beneficial interest in shares but whose name is not entered in the register of members**

*[Pursuant to sub-section (2) and (3) of section 89 of the**Companies Act, 2013 and rule 9(2) of the Companies (Management**and Administration) Rules, 2014]*

To

Name of the company :

Registered office address:

1. **Particulars of the shares in which beneficial interest is held by the person making declaration:**
2. Class of shares :
3. Number of shares :
4. Distinctive numbers : From……………….. To…………………..
5. Certificate No. :
6. Folio No. :
7. Nominal value of shares :
8. Paid up value of shares :
9. **Particulars of the beneficial owner**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **S.no.** | **Name of the Beneficial****Owner(s)** | **Address****And****Email id** | **Date of Birth/****Age** | **Fathers /Mothers/Spouse Name** | **Occupation** | **Nationality** | **Pan/****UIN/CIN****(in case of company)** | **Passport No. (in case of foreign national)** |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** |
|  |  |  |  |  |  |  |  |  |

1. **Declaration:**

In pursuance of sub-section (2) of section 89 of the Companies Act 2013, I ………………… hereby declare that I hold / have acquired the beneficial interest in the above mentioned shares of the company which are registered in the name of the person whose particulars are furnished below:

**4. Particulars of the registered owner**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **S.no.** | **Name of the Beneficial****Owner(s)** | **Address****And****Email id** | **Date of Birth/****Age** | **Fathers /Mothers/Spouse Name** | **Occupation** | **Nationality** | **Pan/****UIN/CIN****(in case of company)** | **Passport No. (in case of foreign national)** |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** |
|  |  |  |  |  |  |  |  |  |

**5. Details of the beneficial interest**

1. Date of creation / acquisition of

Beneficial interest :

 ii) Mode of acquisition of beneficial interest : Allotment / Transfer / others, specify

iii) Nature of the beneficial interest :

iv) Reasons for not registering shares in

 my name :

v) Particulars of the instrument/ document,

 if any, showing the creation of such

 beneficial interest :

**6. Particulars of the person from whom the beneficial interest is acquired, if applicable**

i) Name of the transferor of beneficial

 Interest :

ii) Whether shares were registered in

 his name :

(If not, whether any declaration under

sub- section (2) of section 89 was filed

by him to the company.

If so, date of such declaration)

iii) Particulars of the instrument/ document,

 if any, showing the transfer of such

 beneficial interest :

**7. Particulars of change in beneficial interest**

1. Date of change :
2. Nature of the change in the

 beneficial interest :

1. Brief particulars of such change :
2. Reason for such change :
3. Particulars of the instrument/ document,

 if any, showing the change in such

 beneficial interest :

**Date:**

**Place:**

**Signature of the beneficial owner**

**CERTIFICATE**

I hereby certify that the beneficial interest in the shares mentioned above has been transferred by me on …………….

Signature of the person who has transferred the beneficial interest in the shares

**Date:**

**Place:**

**Enclosures:**

1. Proof of identity of the registered owner and beneficial owner;
2. Instrument/ document under which the beneficial interest is created/ transferred/ changed.